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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
Thomas A. Osborne et al.)	Group Art Unit:
)	
Filed: March 10, 2004)	Examiner:
)	
Serial No.: 10/797,449)	Attorney Docket: 1-37213
)	
For: PROSTHETIC VALVE THAT PERMITS)	
CONTROLLED RETROGRADE FLOW)	

May 12, 2004

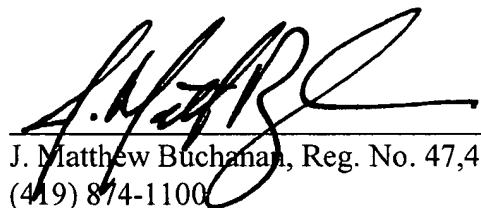
Honorable Commissioner
For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 C.F.R. 1.97(b)

Honorable Sir:

Pursuant to 37 C.F.R. 1.97(b), record is hereby made of information which the Patent Office may wish to consider in connection with its examination of the above-identified application. A completed PTO-1449 form is enclosed.

Respectfully submitted,


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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Complete if Known

Application Number	10/797,449
Filing Date	March 10, 2004
First Named Inventor	Thomas A. Osborne, et al.
Art Unit	
Examiner Name	
Attorney Docket Number	1-37213

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known) ²			
		US-	20010039450	11-08-2001	Dusan Pavcnik et al.	
		US-	20020055772	05-09-2002	James F. McGuckin, Jr. et al.	
		US-	20020177894	11-28-2002	George M. Acosta et al.	
		US-	4,328,592	05-11-1982	Jerome J. Klawitter	
		US-	4,580,568	04-08-1986	Cesare Gianturco	
		US-	5,908,452	06-01-1999	Jack C. Bokros et al.	
		US-	5,641,324	06-24-1997	Jack C. Bokros et al.	
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		US-	6,027,525	02-22-2000	Soo Won Suh et al.	
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		US-	6,508,833	01-21-2003	Dusan Pavcnik et al.	
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date	
Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP § 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Thomas A. Osborne et al.

Docket No.

1-37213

Serial No.

10/797,449

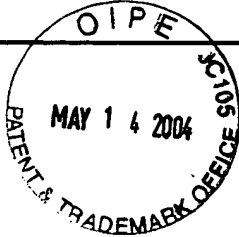
Filing Date

March 10, 2004

Examiner

Group Art Unit

Invention:

PROSTHETIC VALVE THAT PERMITS CONTROLLED RETROGRADE FLOWI hereby certify that this **Information Disclosure Statement PTO-1449***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on **May 12, 2004***(Date)***Dolores Slowinski***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**